



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,883	09/28/2001	John S. Hendricks	3960.D17

CONFIRMATION NO. 2102

## FORMALITIES LETTER



\*OC000000006916191\*

DORSEY & WHITNEY LLP  
Suite 300 South  
1001 Pennsylvania Avenue N.W.  
Washington, DC 20004



Date Mailed: 10/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter
- The balance due by applicant is \$ 920.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

10/16/2001 10:00 AM

10/16/2001 10:00 AM



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Patent Examination Division

#100

PATENT

Attorney Docket No. 3960.D17

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of

John S. HENDRICKS

Serial No: 09/964,883

Filed: September 28, 2001

For: Electronic Book Selection and Delivery  
System Having Purchase and Account  
Management Features



BOX: MISSING PARTS

**SUBMISSION OF SUBSTITUTE DRAWINGS**

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231


Sir:

In response to the Notice to File Missing Parts of NonProvisional Application - Filing Date Granted (copy attached) mailed on October 16, 2001, a response to which is due December 16, 2001, submitted herewith are forty (40) sheets of substitute formal drawings. A Response to the Notice to File Missing Parts in which the filing fees are submitted is filed concurrently herewith.

Entry of the substitute drawings and payment of required fees completes all of the filing formalities and fully satisfies all requirements of the Notice to File Missing Parts. Accordingly, examination and allowance of this application in due course are respectfully solicited.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 04-1425

Respectfully submitted,

By:   
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Registration No. 43,997

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Dated: December 17, 2001